

<b>Client</b>	BabyOne Franchise- und Systemzentrale GmbH	<b>QIMA Service Number</b>	R-Cloud-21233853
<b>Vendor</b>	Zhongshan BEAU TECH Baby Products Company Ltd	<b>Auditee</b>	Zhongshan BEAU TECH Baby Products Company Ltd
<b>Auditor</b>	Linda He	<b>Date</b>	16-Dec-2021
<b>Industry</b>	Toys & Recreational items	<b>Country/Region</b>	China

**Overall Score**

**9.4**

**Audit Rating**

**Green**

Approved

**Overview Of Audit Findings**

Section	Critical NC	Major NC	Minor NC	Score
1 Part 1 Environmental Management System	0	0	0	10
2 Part 2 Energy use, Transport and Greenhouse Gases GHGs	0	0	1	7.5
3 Part 3 Water Use	0	0	0	10
4 Part 4 Waste Water Effluent	0	0	0	10
5 Part 5 Emission to Air	0	0	0	10
6 Part 6 Waste Management	0	2	0	7.5
7 Part 7 Pollution Prevention/Hazardous and Potentially Hazardous Substances	0	1	0	8.6
8 Part 8 Major incident prevention and management	0	0	0	10
9 Part 9 Contaminated land/soil and Groundwater Pollution Prevention	0	0	0	10
10 Part 10 Land Use and Biodiversity	0	0	0	10
11 Part 11 Nuisance	0	0	0	10
<b>Overall Score</b>	0	3	1	9.4

Factory Overall Score **9.4**

Your Supplier's Average **0**

Industry Average **8.1**

Country Average **7.9**

**Valid until : 15-Dec-2022**



## Important Remarks

### Positive Remarks

1. 1. The factory had obtained the Environment impact assessment report and pollution discharge registration.
2. 2. The factory had established the environment management system and covered all activities.
3. 3. The factory had conduct environmental performance assessment for all suppliers.
2. The GHG emission was not calculated during production including the fuel for onsite transportation.
3. 1. Only Municipal Water was used in the facility.
2. The factory had monitored the water use and related records were provided for review.
4. 1. No industrial wastewater was discharged from the facility. The domestic waste water was discharged to Municipal sewage pipe network.
2. The domestic wastewater test report on the discharge point in Jun 2021 was complied with local regulation.
5. 1. Air emissions test report was conducted in Jun 2021, and the test report was accepted.
6. 1. The factory had transferred the Hazardous Wastes to 3rd qualified unit and transfer contract and records were maintained.
2. The factory had had separated all hazardous from non-hazardous wastes, recyclable from non-recyclable in the factory.
7. 1. The factory had established pollution prevention and response procedure.
8. 1. The emergency response plan was established.
2. No major incident was occurred in the factory.
9. 1. No soil and groundwater contamination in the factory.
10. The process was no involvement in Land Use and Biodiversity.
11. 1. The noise level test report were available for all workshops and the test result was acceptable.

### Areas of Improvement

1. There is no negative remarks on environmental management system.
2. 1. The factory had monitored and measure Energy use as electricity bill and transport bill.
3. There is no negative remarks on water use.
4. There is no negative remarks on waste water effluent.
5. There is no negative remarks on emission to air.
6. 1. There was no secondary container provided for three bottle chemical(machine oil) used at the production line.
2. The staff in contact with hazardous materials and/or hazardous wastes was not trained about emergency procedure in case of spillage.
7. 1. There was no secondary container provided for three bottle chemical(machine oil) used at the production line.
8. There is no negative remarks on major incident prevention and management.
9. There is no negative remarks on contaminated land/soil and groundwater pollution prevention.
10. There is no negative remarks on land use and biodiversity.
11. There is no negative remarks on nuisance.

## Audit Parameters

Item	Findings
Audit Type	Initial
Announcement Type	Announced
Verification Method	Onsite Visit
Report Reviewed by:	

## Time Recording

Time Recording Per MD	Man Day	Arrival time at Factory	Departure time from Factory
	1	9:00 AM	4:00 PM
Departure time from Home / Office	7:00 AM		

## Description of audited plant

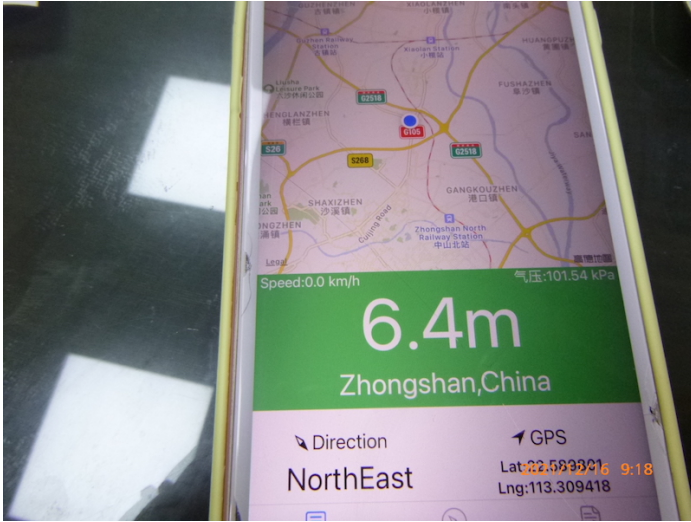
Item	Findings
<p>Previous Environmental Audits Did employment previously had environmental audits, by other clients or by authorities? If yes, please detail below: Audit date, Audit company, Audit result, Main Findings.</p>	<p>No Nil</p>
<p>Shared Factory Does the factory share facilities with another company (which does not belong to the same owner/group)?</p>	<p>No Nil</p>
<p>If yes, which facilities/who rent which part ? Any special hazard due to this sharing?</p>	<p>Nil</p>

### Description of audited plant

1. The facility was located at No.37 Maohua Road, Dongsheng town, Zhongshan City, Guangdong Province, China.
  2. The facility consists of 6-storey building used as production workshops, warehouse, sample room and office. No dormitory, canteen and kitchen were provided for employees.
  3. The products were various baby Products, such as Baby carriage. The process in the factory was prepared material, Cutting, Template, Rivets, Sewing, Assembly, Inspection and Packing.
  4. The management was cooperative during the entire audit and has expressed commitment to work on audit findings.
- Nil

# Pictures

**Pictures**



Map



Factory Gate



Factory Building



Production Line-Template



Production Line-cutting



Production Line-packing



Production Line-Rivets



Production Line-assembly



Sample Room



Office



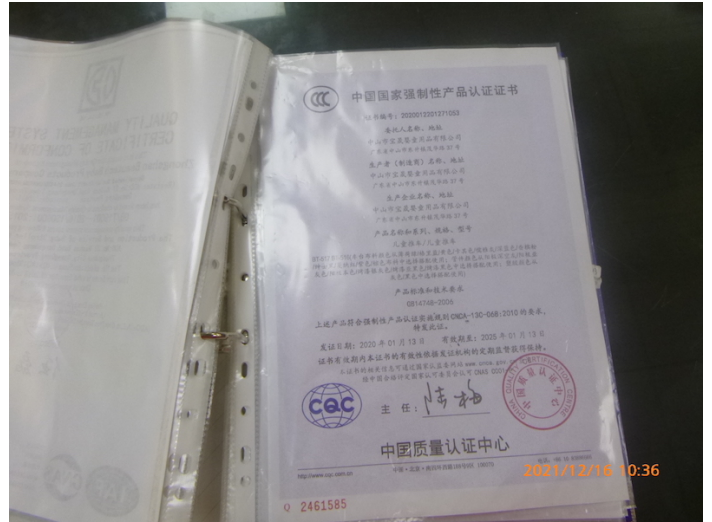
Business license



Licenses/Accreditations



Certificates



Certificates



Raw Material in the Factory



Raw Material in the Factory



Final Product in Factory

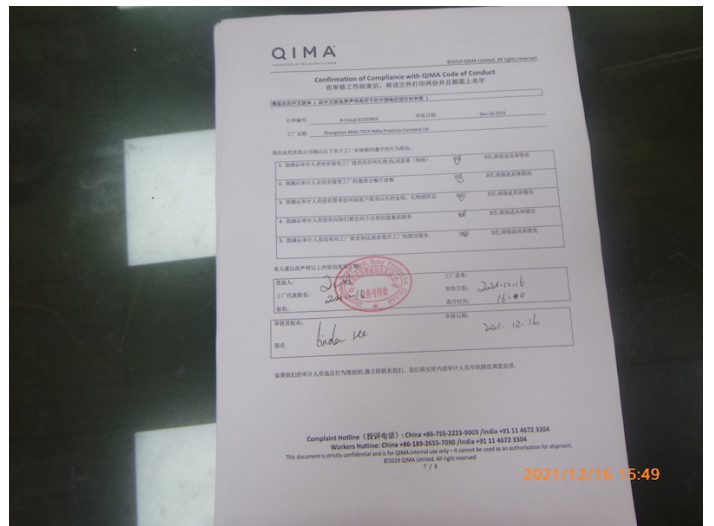


Picture of Auditor

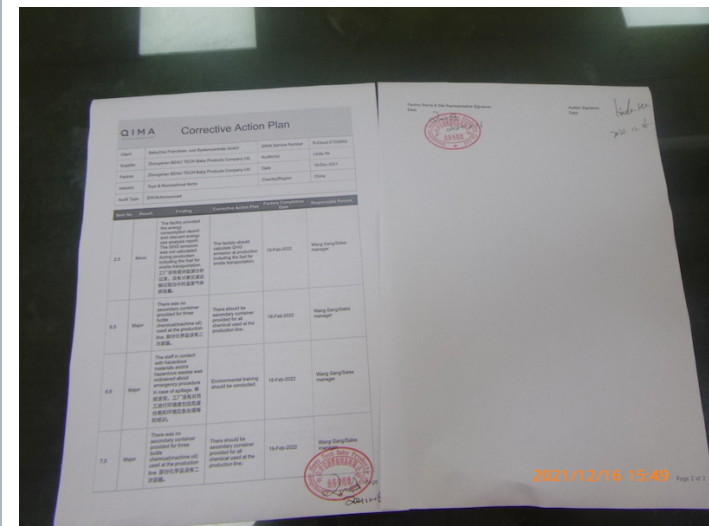




Factory Disclaimer



Code of Conduct



Signed Corrective Action Plan

## Factory Profile

Item	Findings
Annual Turnover	60000000 USD
Factory Date of Formation	14-Jun-2016
Location	No.37 Maohua Road, Dongsheng Town, Zhongshan City, Guangdong, China.
GPS Longitude	113.309
GPS Latitude	22.59
Main Markets	The European
Main Products	various baby Products, such as Baby carriage
Total Staff in Factory	150
Total Office Staff	15
Total Management Staff	3
Number of Workers	132
Legal Status	Limited liability company
Health and Safety Manager Name	Ao Zhili
Factory Manager Name	Wang Gang
Factory Owner	Xiao De'en

## Environmental Profile

Item	Findings
Production processes in the factory	Cutting, Template, Rivets, Sewing, Assembly, Inspection and Packing.
Management representative responsible for Environmental Issues	Ao Zhili

### Environmental risks

The factory did not have big environmental risk, no industrial waste water, waste gas and loud noise generation.

### Environmental permits and environmental system certification

The factory has provided EIA report approval and acceptance. The factory did not provide ISO4001 certificate for review.

### Usage/Discharge Analysis

Year	Electricity usage (kW/hr)	Water Volume Used (cubic meters)	Water Volume Discharged (cubic meters)	Water Volume Recycled (cubic meters)	Total solid waste produced (kg)	Total hazardous waste produced (kg)	Waste to Recycling (kg)	Waste to Landfill (kg)
2021	20000	3200	9.36	0	5075	0.005	0	0

# 1. Part 1 Environmental Management System

Item No	Requirement	Result	Findings/Comment
1.1	Does the factory have a valid environmental impact assessment report? Has this report been updated in case of change in production process? Is factory able to demonstrate knowledge of Environmental Impacts (negative and positive)?	Pass	The factory had obtained and provided the environmental impact assessment report for review during the audit. Based on the document review of the environmental impact assessment report and onsite observation, all main production processes were included in the environmental impact assessment report. The factory had established the environment control department; they were able to demonstrate knowledge of Environmental Impacts.
1.2	Does the factory have an approval from starting operations as per local regulation? Has this report been updated in case of change in production process? If factory able to demonstrate Understanding and awareness of applicable legal requirements?	Pass	The factory had obtained EIA approval from local environment authority. The factory had collected the applicable environment legal requirements by environment control department, and the environment laws and regulations list were provided for review, they were able to demonstrate understanding and awareness of applicable legal requirements.
1.3	Is there a register of environmental laws and regulations applicable to the factory? Is there a system to keep the register updated?	Pass	The factory had collected the applicable environment legal requirements by environment control department and the environment laws and regulations list was provided for review. Also, the list was updated at least once per year.
1.4	<b>Is a risk analysis conducted to identify the risks on Environment associated with factory and is this risk analysis reviewed regularly and updated when necessary? In case of major environmental risk, is there an emergency procedure? If yes, is there any action to prevent reoccurrence of these accidents? (C)</b>	Pass	The factory had conducted a risk analysis to identify the risks on Environment associated with factory; related risk analysis records were provided for review and updated once per year. The emergency procedure was established in the factory, if major environmental risk was found, the root cause was analyzed and correction and prevent action would conduct to prevent reoccurrence.
1.5	Is there a person in charge of environment designated in the factory? Is he able to demonstrate knowledge of factory's impacts and legal requirements?	Pass	Ao Zhili was in charge of environment designated in the factory. Based on the interview, he was experienced and able to demonstrate knowledge of factory's impacts and legal requirements.
1.6	Have the operators undertaking work activities with potential or actual environmental impact (handling or transporting waste, maintaining wastewater system, etc) followed proper trainings or obtained operation permits when applicable?	Pass	The factory provided environmental training to operators undertaking work activities with environmental impact, such as workers engaged in work with chemicals. Related training records were provided for review.
1.7	Has the factory established and documented an Environmental Management System, implemented, maintained, and continuously improved? Does it cover all activities of the factory? Please specify if the factory has an ISO14001 certification or equivalent.	Yes	The factory had established and documented an Environmental Management System according to ISO14001. The newest Environmental Management Manual was established, it was approved by Mr. Xoap De'en/ Chairman of the board of directors. Based on the document review, it covered all activities of the factory. The factory did not provide ISO14001 certificate for review.
1.8	Has the top management defined an environmental policy ? Does the environmental policy includes a commitment to continuous improvement, pollution prevention and compliance with national law and other applicable regulations ? Describe the system in place to respect this commitment.	Yes	The environmental policy was defined in Environmental Management manual. The environmental policy includes a commitment to pollution prevention, energy saving, environment protection and compliance of social responsibility.
1.9	Has the factory established environmental objectives, targets at relevant levels of the organization to implement the environmental policy ?	Yes	The factory established environmental objectives every year, such as the environmental objectives about water consumption per RMB780 production value <260 tons per month, electricity consumption per RMB 1700 production value <1600 kw/h per month, 100% solid waste was transferred to licensed vendor and chemical leakage and fire event were 0.

Item No	Requirement	Result	Findings/Comment
1.10	Has the factory established programme(s) to achieve these environmental objectives and targets ? Please give details on resources involved.	Yes	The factory conducted related actions to achieve to environmental objectives, such as replace the old equipment with new equipment to save electric power or reduce environmental impact.
1.11	Has the top management effectively communicated this policy internally to all personnel, including management, supervisors and staff? Is it publicly available?	Yes	The environment manual and environment policy were approved by top management, the factory provided the ISO14001 course training including environment policy to all workers, and related training records were provided for review.
1.12	Is the Environmental Management System supported by a Document Management System, to ensure communication of most up-to-date documents to relevant staff?	Yes	The factory had established the environment manual and environment procedure including document management procedure to ensure communication of most up-to-date documents to relevant staff.
1.13	Has the factory conducted internal audit of its environmental management system within the last 12 months ? Any external (3rd party audits)? What were the findings and actions taken?	Yes	The factory had conducted internal audit of its environmental management system within the last 12 months.
1.14	Has the factory conducted management review of its environmental management system within the last 12 months ? What are the output of Management Review?	Yes	The last environmental management review was conducted on year 2021, related records were provided. Based on the document review, the environmental policy, objectives etc. were reviewed in this environmental management review, and new environmental objectives in next year were established.
1.15	Can involvement of all staff in factory to Environmental Management System and good implementation be demonstrated?	Yes	The factory had established and implemented the Environmental Management System according to ISO14001, the duty description was established for all staffs, and environmental related trainings were provided to all staffs.
1.16	Is Information on environmental performance made available to appropriate internal and external stakeholders?	Yes	The environmental performance information was available to internal stakeholders, and it was available to external stakeholders if needed.
1.17	Is factory aware of names of suppliers as well as the geographical origin of the materials/components used to produce items?	Yes	Based on the document review, the factory had provided the supplier list for review, including names of suppliers as well as the geographical origin of the materials/components used to produce items.
1.18	Does factory work alongside suppliers and subcontractors to measure and improve their environmental performance?	Yes	The factory had conduct environmental performance assessment for all suppliers.
1.19	Does factory include environmental clauses in contracts with service providers, suppliers and subcontractors?	Yes	The factory had signed the environmental declaration of conformity with all suppliers.

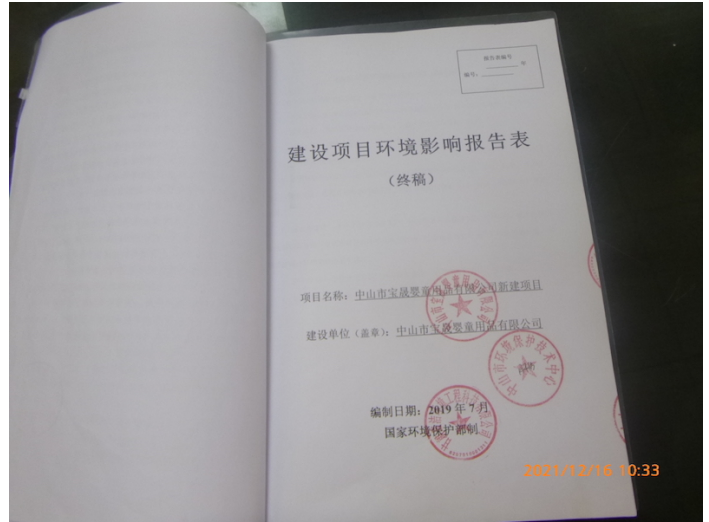
**Special Remarks**

<b>Positive Remarks</b>	<ol style="list-style-type: none"> <li>1. The factory had obtained the Environment impact assessment report and pullulation discharge registration.</li> <li>2. The factory had established the environment management system and covered all activities.</li> <li>3. The factory had conduct environmental performance assessment for all suppliers.</li> </ol>
<b>Negative Remarks</b>	There is no negative remarks on environmental management system.

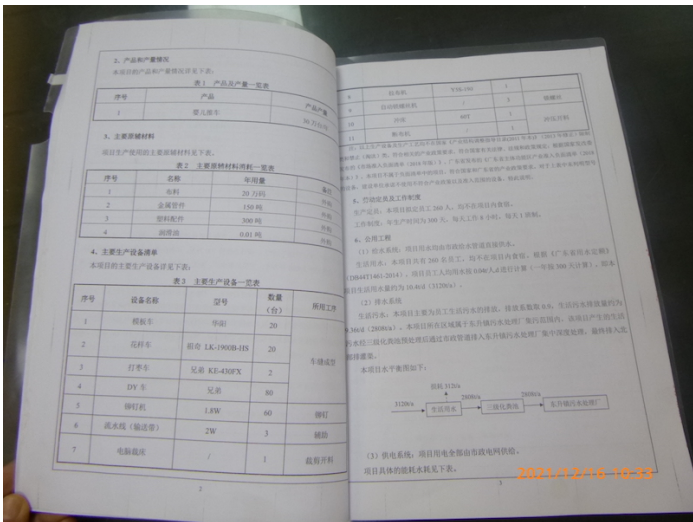
**Pictures**



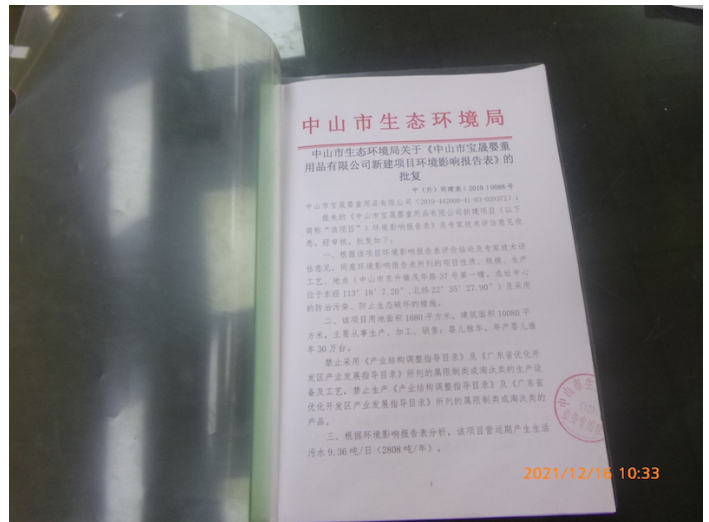
Emission permit registration



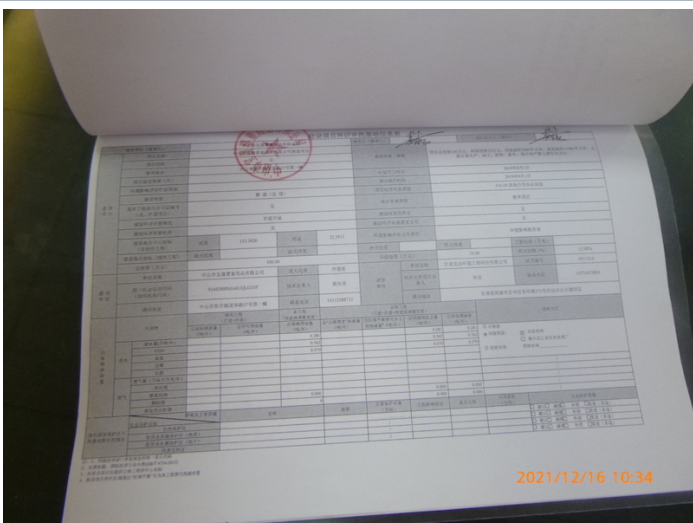
EIA report



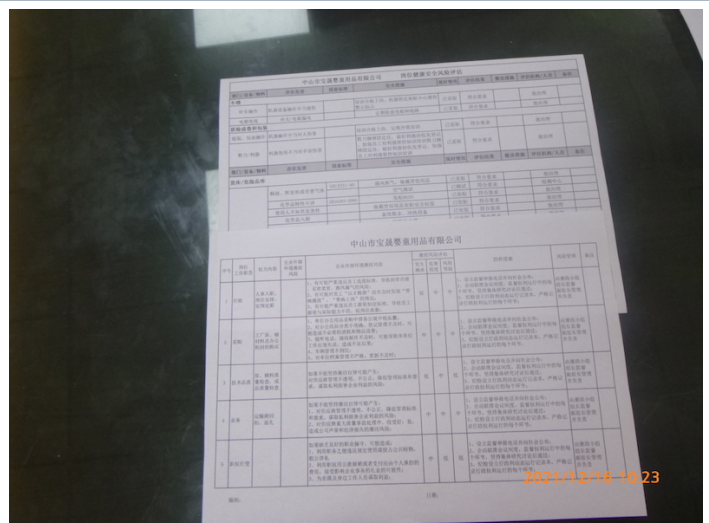
EIA report



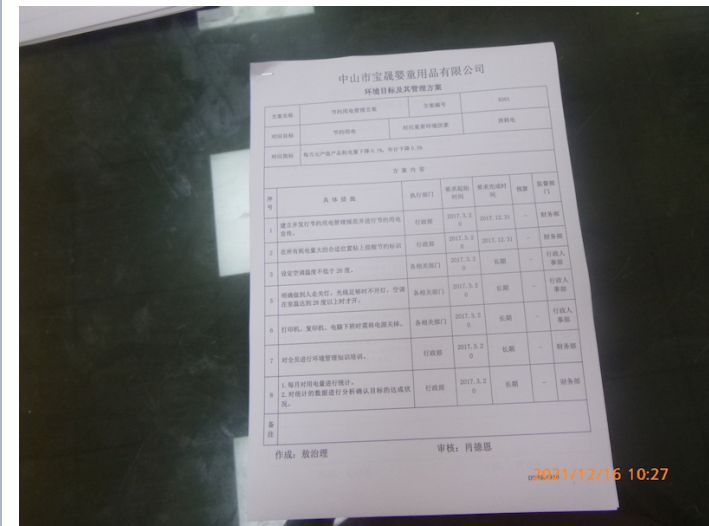
The assessment of EIA report



approval of EIA report



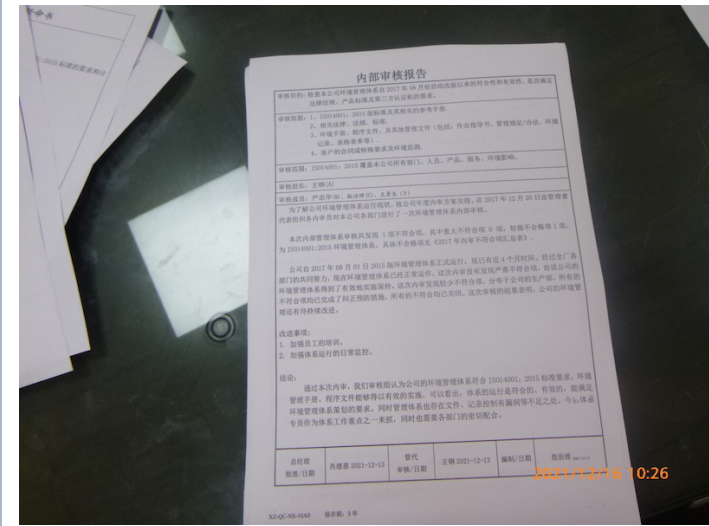
risk analysis records



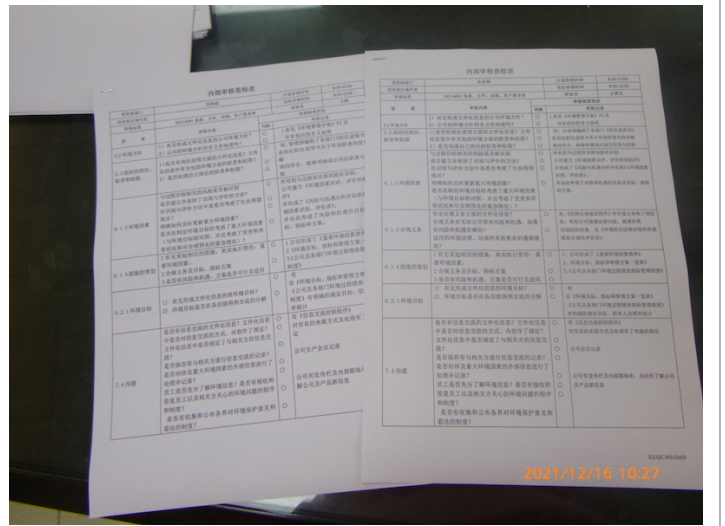
Environment targets and management plan



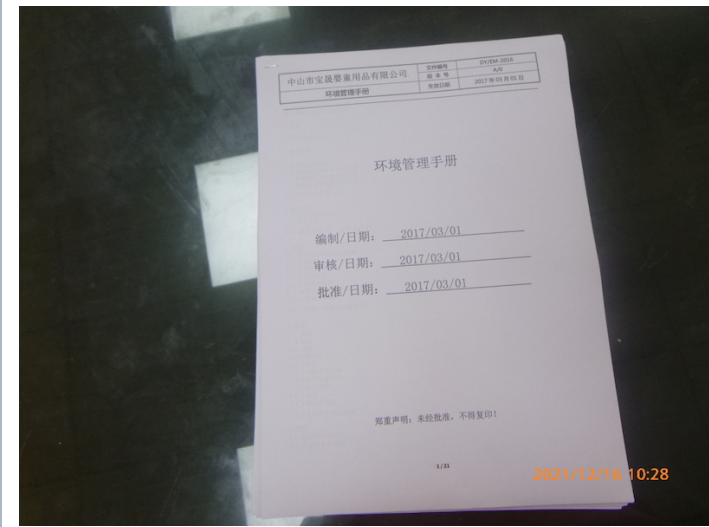
Environmental goals and indicators for 2021



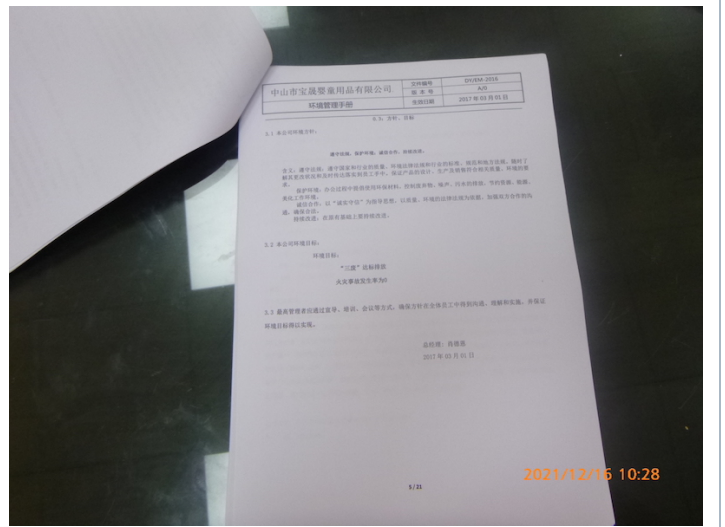
Internal audit report



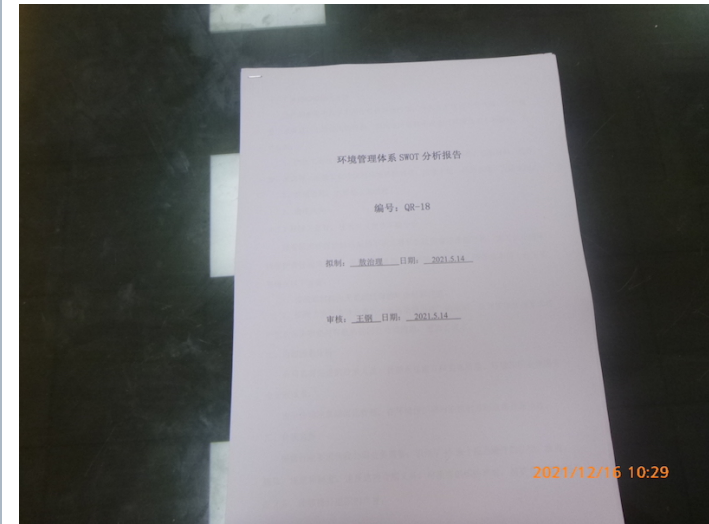
Internal audit records



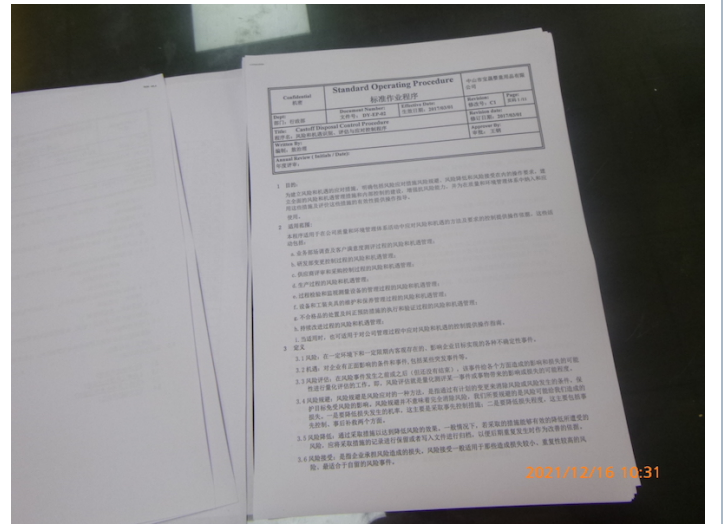
Environment manual



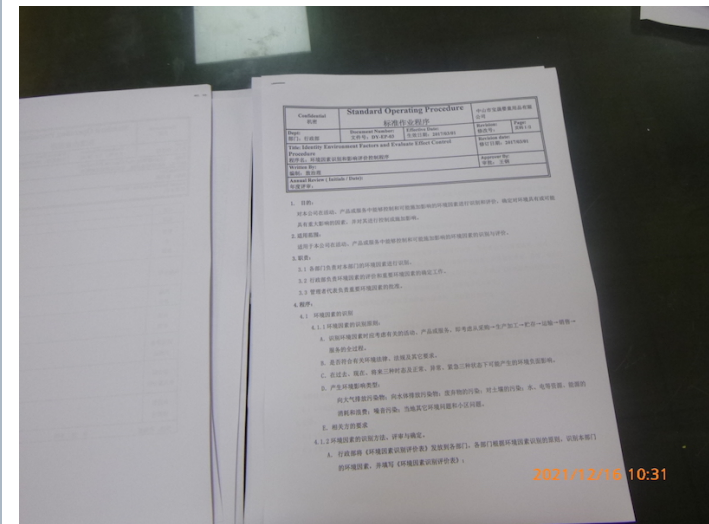
Environment policy



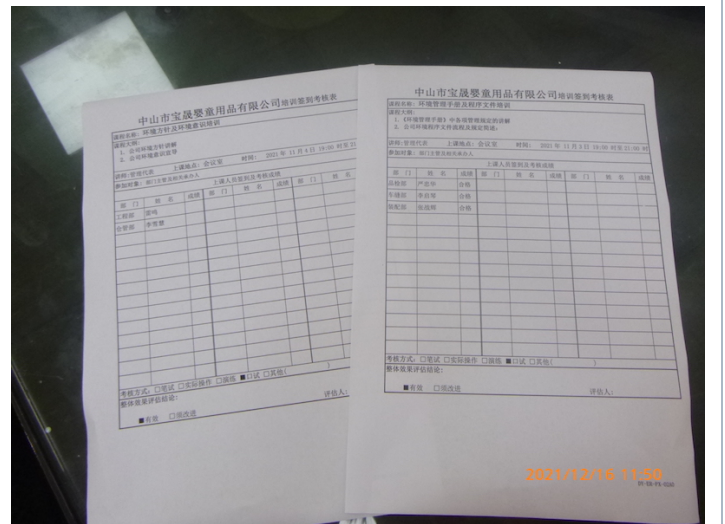
Environmental management analysis report



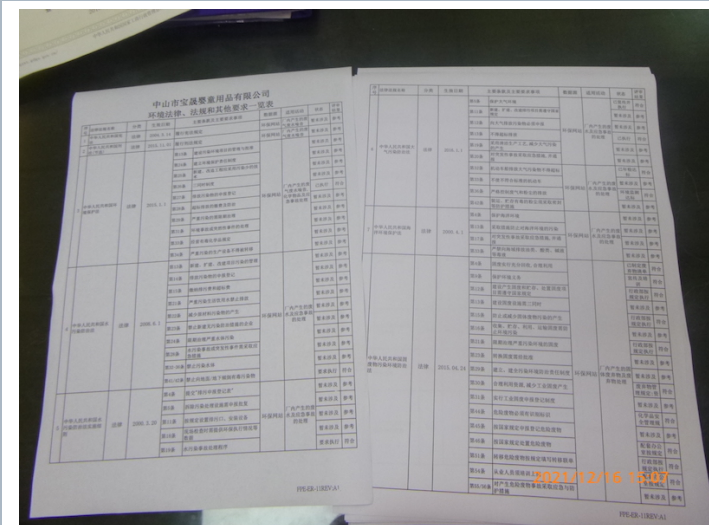
Risk and opportunity identification, assessment and response control procedures



Environmental factor identification and impact assessment control procedures



Environment training records



Update environment laws list



## 2. Part 2 Energy use, Transport and Greenhouse Gases GHGs

Item No	Requirement	Result	Findings/Comment
2.1	Is factory able to demonstrate compliance to all legal requirements related to Energy use, Transport and Greenhouse Gases GHGs?	Pass	The factory could demonstrate compliance to all legal requirements related to Energy use, Transport and Greenhouse Gases GHGs.
2.2	Is factory maintaining relevant, up-to-date permits related to Energy use, Transport and Greenhouse Gases GHGs?	Pass	The factory had maintained permits related to Energy use, Transport and Greenhouse Gases GHGs.
2.3	Can factory demonstrate that energy use is monitored and measured? Are GHG emissions calculated, including fuel for on-site transportations?	Minor	The facility provided the energy consumption record and relevant energy use analysis report. The GHG emission was not calculated during production including the fuel for onsite transportation. 工厂提供能源分析记录，但是没有计算交通运输过程中的温室气体排放量。
2.4	Are there formal written systems in place to manage and audit energy use and GHG emissions as per the site EMS?	Pass	The energy such as electricity was managed as per EMS requirement.
2.5	Are energy consumption and greenhouse gas emissions (including on-site vehicle emissions) made available to appropriate internal and external stakeholders?	Yes	Based on the document review, the energy consumption and greenhouse gas emissions were available to appropriate internal stakeholders, and it was available to appropriate external stakeholders if needed.
2.6	Are renewable energy use targets and GHG emission reduction targets established/reviewed at least on an annual basis to drive continuous improvement?	No	No renewable energy use targets were established in the factory. No GHG emission reduction target was established in the factory.
2.7	Can factory demonstrate reduction in energy use over the last few years?	Yes	Based on the document review, the electric energy consumption target was per RMB 1700 production value <1600 kw/h per month.
2.8	Does targets include on-site vehicle emissions reduction which are reviewed on an annual basis to drive continuous improvement?	No	No target was established on emissions reduction in the factory.
2.9	Has factory designed products whilst taking in account environmental requirements or has factory developed a range of eco-designed product?	Yes	The factory designed products whilst taking in account environmental requirements.

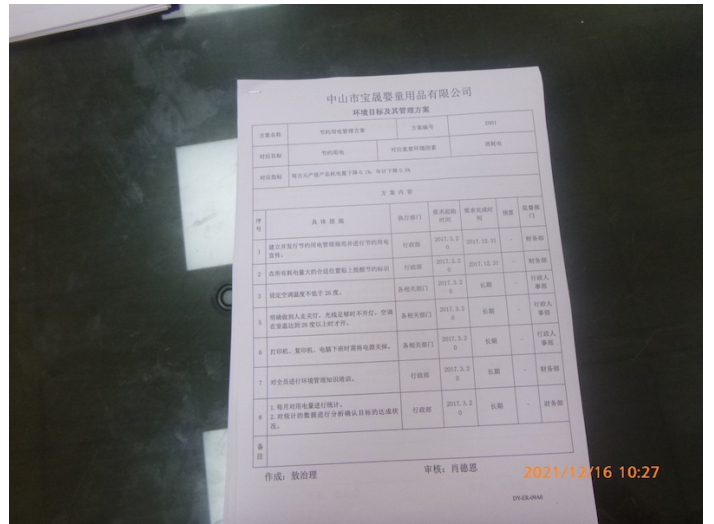
### Special Remarks

Negative Remarks	1. The factory had monitored and measure Energy use as electricity bill and transport bill.
Positive Remarks	The GHG emission was not calculated during production including the fuel for onsite transportation.

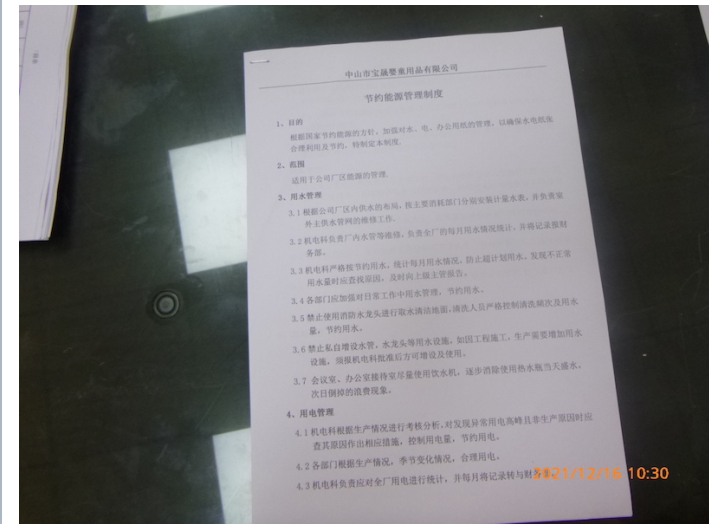
### Pictures



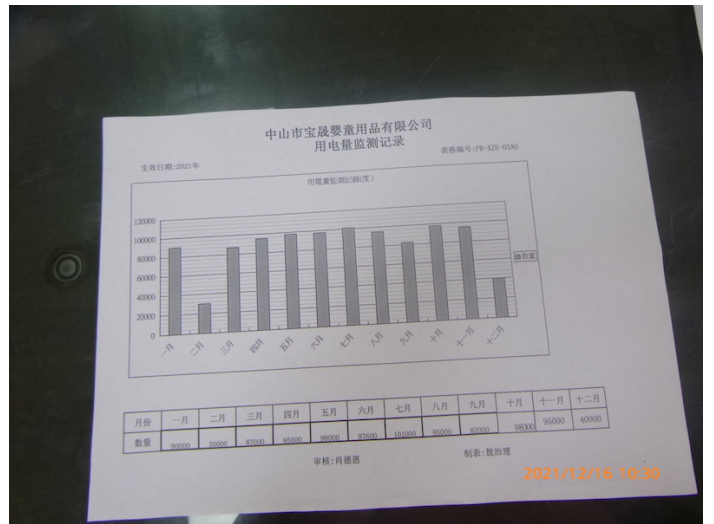
Electrical box



Saving energy targets



Energy saving procedure



Energy use records

### 3. Part 3 Water Use

Item No	Requirement	Result	Findings/Comment
3.1	Is factory able to demonstrate compliance to all legal requirements related to Water Use?	Pass	The factory was able to demonstrate compliance to all legal requirements related to Water Use.
3.2	Is factory maintaining relevant, up-to-date permits related to Water Use?	Pass	The factory has maintained water bills from the local bureau related to Water Use.
3.3	Can factory demonstrate that water use is monitored and measured?	Pass	The factory had monitored the water use and related records were provided for review.
3.4	Are formal written systems and processes in place to manage and audit water consumption?	Yes	The water consumption management system was established in the factory.
3.5	Is water consumption data made available to appropriate internal and external stakeholders?	Yes	Based on the management interview, the water consumption data was available to appropriate internal stakeholders, and it was available to appropriate external stakeholders if needed.
3.6	Are water consumption efficiency targets reviewed on an annual basis to drive continuous improvement?	Yes	The water consumption efficiency target was per RMB780 production value <260 tons per month.
3.7	Can factory demonstrate achievement of water efficiency targets?	Yes	The factory had monitored water consumption efficiency target and has provided related records.

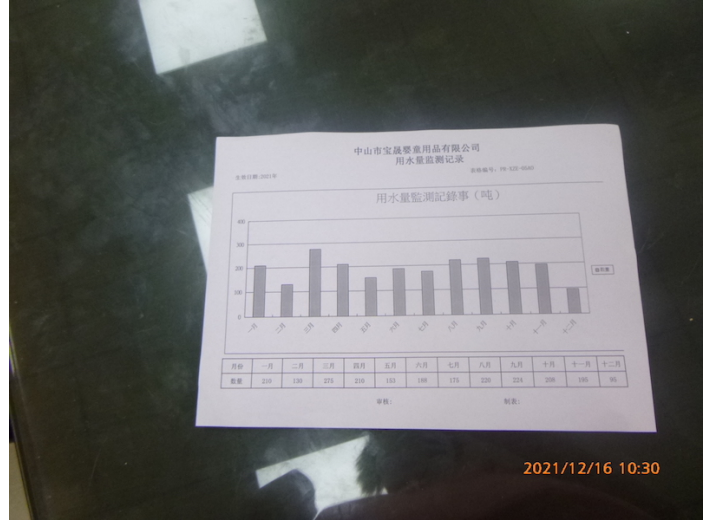
Special Remarks	
<b>Negative Remarks</b>	There is no negative remarks on water use.
<b>Positive Remarks</b>	1. Only Municipal Water was used in the facility. 2. The factory had monitored the water use and related records were provided for review.

#### Pictures

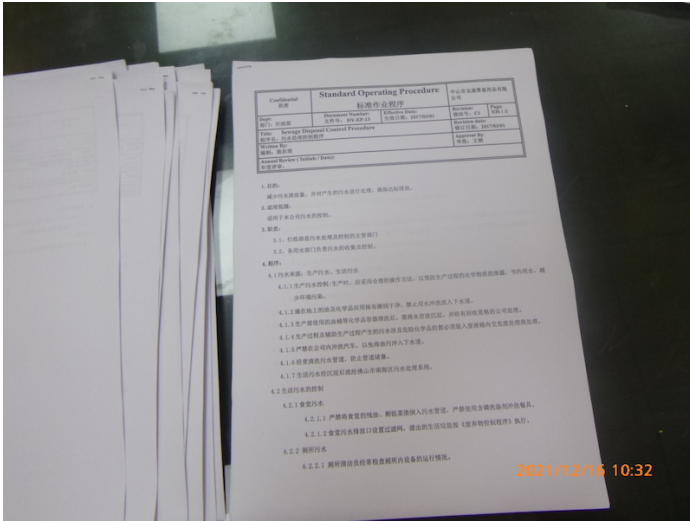
	
Drinking water	Water meter



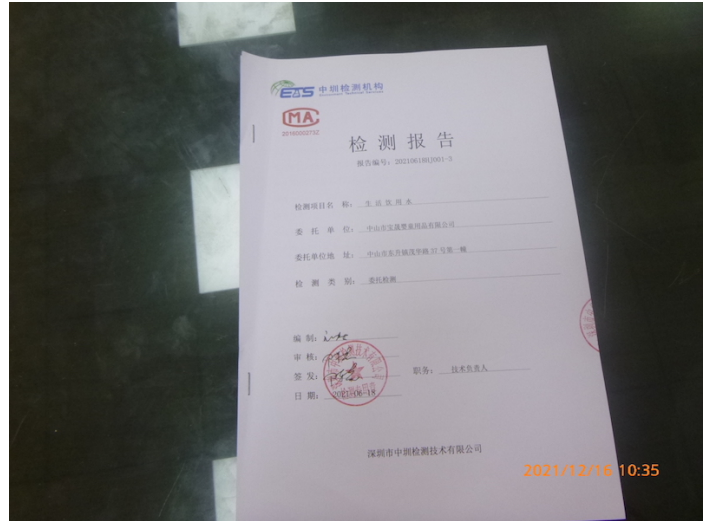
Washing station



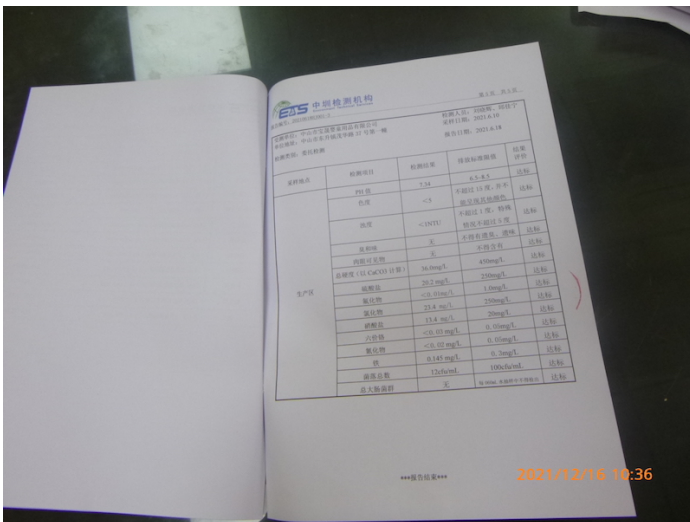
Water use records



Waste water control procedure



Drinking water test report



Drinking water test report

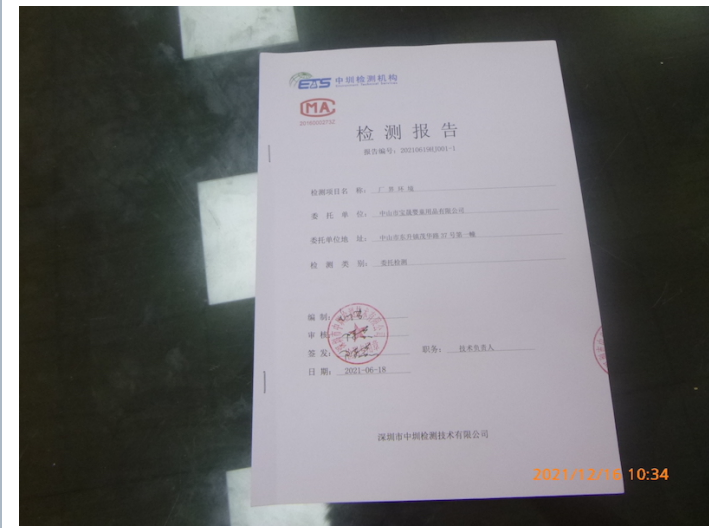
## 4. Part 4 Waste Water Effluent

Item No	Requirement	Result	Findings/Comment
4.1	What kind of waste water does factory generate (Domestic only / Domestic and Industrial Waste Water)?	<b>Domestic wastewater</b>	Domestic Waste Water (Washing hands, or toilet use). No industrial waste water was generated in the facility.
4.2	Is there any waste water treatment plant on site?	<b>Yes</b>	There was a waste water treatment plant on site.
4.3	<b>Does the waste water discharge complies with local regulations, and supported by all necessary documentation and test reports based on local laws? (C)</b>	<b>Pass</b>	The domestic waste water test report on the discharge point in Jun 2021 was complied with local regulation.
4.4	<b>Does the factory maintain relevant, up-to-date Pollutant Discharge Permit or equivalent, where applicable? (C)</b>	<b>N/A</b>	No industrial waste water was discharged from the facility. The domestic waste water was discharged to Municipal sewage pipe network.
4.5	Does the factory have a waste water inventory which specifies type of waste water, flow, type and quantities of pollutant emitted, treatment methods (if any), and discharge permits (when applicable)? Is it maintained and up-to-date?	<b>Pass</b>	No industrial waste water was discharged from the facility. The domestic waste water was discharged to Municipal sewage pipe network.
4.6	Does the inventory identify contaminants, their flow direction, and potential impacts?	<b>Pass</b>	No industrial waste water was discharged from the facility. The domestic waste water was discharged to Municipal sewage pipe network.
4.7	Are formal written systems and processes in place to manage wastewater effluent?	<b>Yes</b>	The written systems were established in place to manage wastewater effluent.
4.8	If there is wastewater treatment on-site: Is there a procedure to control the efficiency of industrial and domestic waste water treatment? If yes specify how the treatment has been chosen, how it is evaluated and controlled, what are the water quality parameters checked to ensure compliance?	<b>Yes</b>	No industrial waste water was discharged from the facility. The domestic waste water was discharged to Municipal sewage pipe network.
4.9	Is wastewater quality data made available to relevant internal and external stakeholders?	<b>Yes</b>	Based on the management interview, the wastewater quality data was available to appropriate internal stakeholders, and it was available to appropriate external stakeholders if needed.
4.10	Are there evidences of actions and/or investment from factory to reduce water pollution according to targets, and annual review to drive continuous improvement?	<b>Yes</b>	The water pollution reduce target was established in the factory.
4.11	Can factory demonstrate achievement of wastewater quality improvement targets?	<b>Yes</b>	The wastewater quality improvement target was established in the factory.

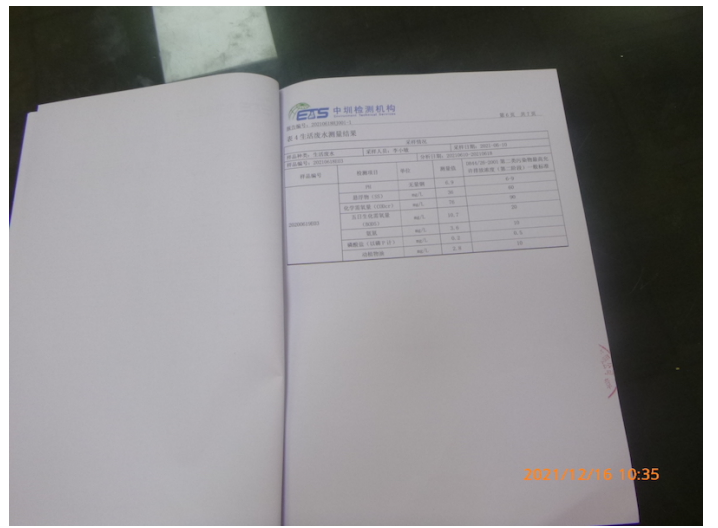
### Special Remarks

<b>Positive Remarks</b>	1. No industrial wastewater was discharged from the facility. The domestic waste water was discharged to Municipal sewage pipe network. 2. The domestic wastewater test report on the discharge point in Jun 2021 was complied with local regulation.
<b>Negative Remarks</b>	There is no negative remarks on waste water effluent.

### Pictures



Waste water test report



Waste water test report

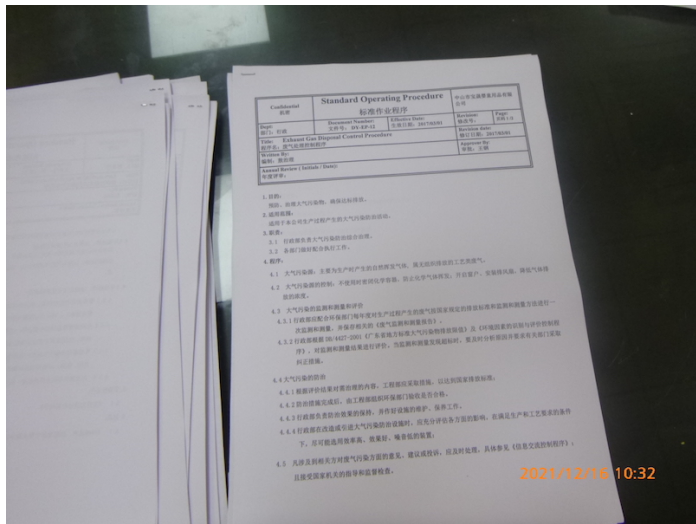
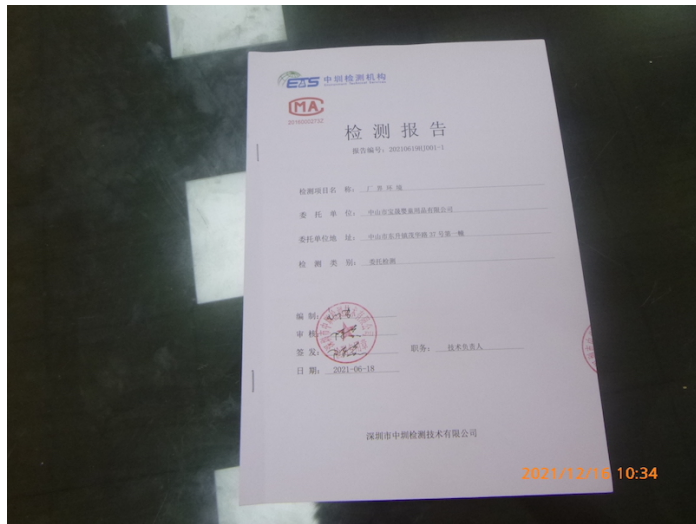
## 5. Part 5 Emission to Air

Item No	Requirement	Result	Findings/Comment
5.1	Does the air emissions discharge complies with local regulations, and supported by all necessary documentation and test reports based on local laws (including Ozone Depleting Substances - ODS)? (C)	Pass	The factory had provided the air emissions discharge test report for review, based on the document review, the test was conducted in Jun 2021, and the test report was complying with local laws.
5.2	Does the factory maintain relevant, up-to-date Pollutant Discharge Permit or equivalent, where applicable (including for ODS)? (C)	Pass	The Pollutant Discharge Permit license was valid from 8 Jun 2021 to 7 Jun 2026.
5.3	Does the factory maintain a relevant, up-to-date air emission source inventory which specifies location of emission sources, type and quantities of pollutant emitted, treatment methods? Does it include potential fugitive emissions and ODS?	Pass	The factory had maintained a relevant, up-to-date air emission source inventory which specifies location of emission sources, type and quantities of pollutant emitted, treatment methods.
5.4	If ODS are used, can factory provide evidence of regular maintenance of ODS containing equipment?	N/A	No Ozone Depleting Chemicals (ODC) was used in the factory.
5.5	Are formal written systems and processes in place to manage Emissions to Air?	Yes	There was a waste air treat procedure in place to manage Emissions to Air.
5.6	If there is Air Emissions treatment on-site: Is there a procedure to control the efficiency of air emission treatment? If yes specify how the treatment has been chosen, how it is evaluated and controlled, what are the air quality parameters checked?	Yes	No waste gas was generated from the facility. The air quality parameters were tested by the factory and the test result was acceptable.
5.7	Is Air Emission quality data made available to relevant internal and external stakeholders?	Yes	Based on the management interview, the Air Emission quality data was available to appropriate internal stakeholders, and it was available to appropriate external stakeholders if needed.
5.8	Are there evidences of actions and/or investment from factory to improve air quality according to targets, and annual review to drive continuous improvement?	Yes	There were evidences of actions and/or investment from factory to improve air quality according to targets, and annual review to drive continuous improvement.
5.9	Can factory demonstrate achievement of air emission quality improvement targets?	Yes	The air emission quality improvement target was established in the factory.

### Special Remarks

<b>Negative Remarks</b>	There is no negative remarks on emission to air.
<b>Positive Remarks</b>	1. Air emissions test report was conducted in Jun 2021, and the test report was accepted.

### Pictures

 <p>2021/12/16 10:32</p>	 <p>2021/12/16 10:34</p>
Waste air control procedure	Waste air monitor report

检测点位	检测项目	检测值	标准值
生产车间排风口 KM1	苯	0.023	0.10
	甲苯	0.044	0.4
	二甲苯	0.387	1.0
	非甲烷总烃	1.5	4.0
生产车间排风口 KM2	苯	0.028	0.10
	甲苯	0.203	0.4
	二甲苯	0.393	1.0
	非甲烷总烃	0.9	4.0
生产车间排风口 KM3	苯	0.020	0.10
	甲苯	0.303	0.4
	二甲苯	0.303	1.0
	非甲烷总烃	0.9	4.0

Waste air monitor report

序号	环境因素	活动	状态					主要物质组成	环境影响类别	控制措施	环境影响		重要程序
			正常	异常	紧急	现在	过去				将来	受控	
1	燃烧固体废弃物	燃烧废弃物		Y		Y		二氧化碳/氮氧化物/一氧化碳	温室气体	禁止随意堆放固体废弃物, 专人负责清运	Y		
2	火灾	火灾				Y		二氧化碳/氮氧化物/一氧化碳	温室气体	定期检查火灾隐患, 防止火灾发生		Y	Y
3	办公室内吸烟	吸烟	Y			Y		二氧化碳	温室气体			Y	
4	车辆停放尾气	车辆在厂区内停放	Y			Y	Y	二氧化碳/氮氧化物	温室气体			Y	
5	火灾	产品储存	Y	Y	Y			二氧化碳/氮氧化物/一氧化碳	温室气体	定期检查火灾隐患, 防止火灾发生	Y		Y
6	生产场所	生产活动	Y			Y	Y	二氧化碳	温室气体				Y
7	生产办公场所	日常活动	Y			Y	Y	二氧化碳	温室气体				Y

Greenhouse gas emissions inventory



## 6. Part 6 Waste Management

Item No	Requirement	Result	Findings/Comment
6.1	Is factory able to demonstrate compliance to all legal requirements related to Solid and Hazardous Wastes?	Pass	The factory had provided evidence to demonstrate compliance to all legal requirements related to Solid Wastes, such as all wastes were transferred to licensed vendor.
6.2	Is factory maintaining relevant, up-to-date permits related to Solid and Hazardous Wastes?	Pass	The factory transferred the hazardous wastes to Zhaoqing Xinrongchang Environmental Protection Co., Ltd, and the transfer contract was provided for review, the contract valid date was valid.
6.3	<b>Is factory able to prove that wastes are transferred to 3rd party with relevant certifications, and keep records of wastes transfer including quantities? Are all waste disposal/treatment records kept? (C)</b>	Pass	The factory transferred the hazardous wastes to Zhaoqing Xinrongchang Environmental Protection Co.,Ltd. And the transfer contract was provided for review, the contract valid date was valid. And the factory had provided the relevant certifications for Zhaoqing Xinrongchang Environmental Protection Co.,Ltd.
6.4	Is there evidence that factory adequately separate hazardous from non-hazardous wastes in workshops/warehouses, and that employee awareness and training is provided on handling and segregation of wastes?	Pass	The factory adequately separated hazardous from non-hazardous wastes in workshops and warehouses, relevant training was provided to employees on handling and segregation of wastes.
6.5	Are hazardous chemicals inventoried, and stored in a safe area, with controlled access and relevant emergency prevention procedures (secondary containers, MSDS, emergency equipment)? Is it updated on regular basis?	Major	<b>There was no secondary container provided for three bottles chemical (machine oil) used at the production line.</b> 部分化学品没有二次容器。
6.6	Are all staff in contact with hazardous materials and/or hazardous wastes trained about emergency procedure in case of spillage?	Major	<b>The staff in contact with hazardous materials and hazardous wastes was not trained about emergency procedure in case of spillage.</b> 审核发现，工厂没有对员工进行环境类包括危废分类和环境应急处理等的培训。
6.7	Does factory inventory all hazardous wastes, including kind, classification code, sources, and quantities?	Pass	The factory had inventory of all hazardous wastes, including kind, classification code, sources, and quantities.
6.8	<b>Is there any evidence of on-site waste burning/or uncontrolled waste land filling undertaken found during audit? (C)</b>	Pass	No waste burning/or uncontrolled waste land filling was undertaken on-site.
6.9	Are formal written systems and processes in place to manage waste generation, storage, transportation, and disposal as per the site EMS, including waste minimization audit and consideration of waste hierarchy principles?	Yes	The factory had established the waste control procedure in place to manage waste generation, storage, transportation, and disposal as per the site EMS.
6.10	Can factory demonstrate that it evaluates Waste management contractors (suitability, disposal/treatment methods)?	Yes	The factory evaluated the waste management contractors every year, such as the business license, hazardous waste permit and the Permit of carriage of dangerous goods for the waste management contractors were reviewed the factory.
6.11	Can factory demonstrate engagement with suppliers to identify waste avoidance/ reduction/recycling/reuse opportunities?	Yes	Based on the management interview, the factory had related requirement suppliers to identify waste avoidance/ reduction/recycling/reuse opportunities.
6.12	Does factory adequately segregate wastes by kind (hazardous/non-hazardous; recyclable/non-recyclable) and ensure they are not mixed?	Yes	Based on the onsite observation, the factory had separated all hazardous from non-hazardous wastes, recyclable from non-recyclable in the factory, and ensure they are not mixed.
6.13	Are amounts of wastes generated and recycled monitored and measured, with data available to relevant internal and external stakeholders?	Yes	Based on the management interview, the waste data was available to appropriate internal stakeholders, and it was available to appropriate external stakeholders if needed.

Item No	Requirement	Result	Findings/Comment
6.14	Are there evidences of actions and/or investment from factory to reduce wastes generation or increase recycling according to targets, and annual review to drive continuous improvement?	Yes	There were evidences of actions and/or investment from factory to reduce wastes generation or increase recycling according to targets, and annual review to drive continuous improvement.
6.15	Can factory demonstrate achievement in waste reduction targets?	Yes	The waste reduction target were established in the factory.

Special Remarks	
<b>Negative Remarks</b>	1. There was no secondary container provided for three bottle chemical(machine oil) used at the production line. 2. The staff in contact with hazardous materials and/or hazardous wastes was not trained about emergency procedure in case of spillage.
<b>Positive Remarks</b>	1. The factory had transferred the Hazardous Wastes to 3rd qualified unit and transfer contract and records were maintained. 2. The factory had had separated all hazardous from non-hazardous wastes, recyclable from non-recyclable in the factory.

### Pictures



empty barrels of chemicals stored station

inventory of waste

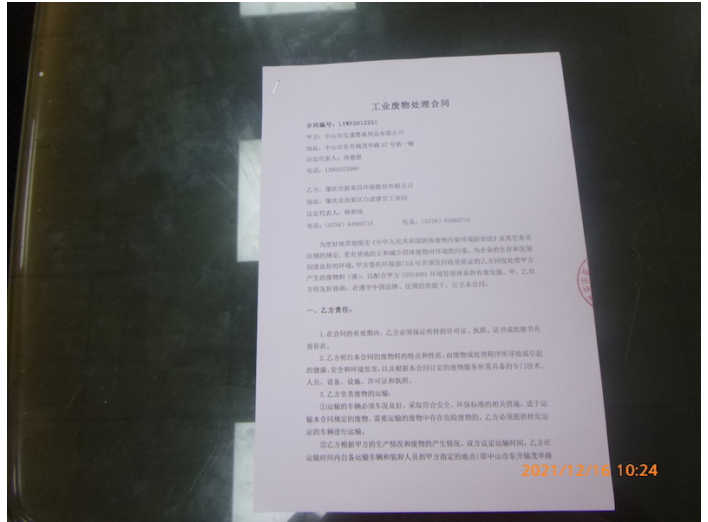


Hazardous waste transfer records

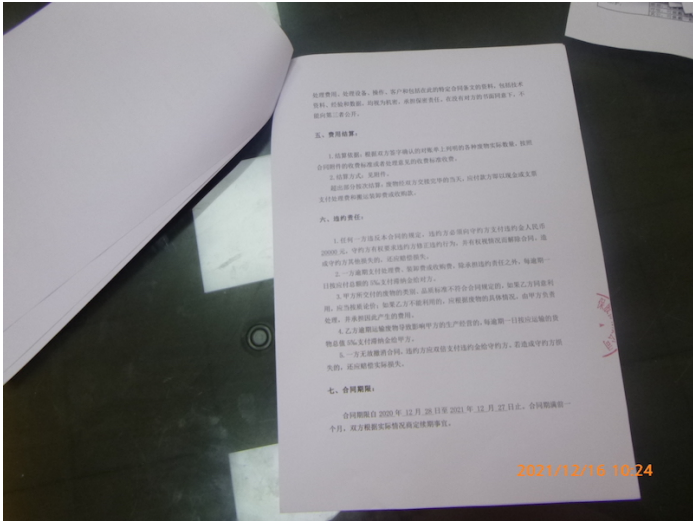
Road transport operation license



Hazardous Waste operation permit



Hazardous Waste disposal contract



Hazardous Waste disposal contract



NC: no secondary container provided for chemical

## 7. Part 7 Pollution Prevention/Hazardous and Potentially Hazardous Substances

Item No	Requirement	Result	Findings/Comment
7.1	Is factory able to demonstrate compliance to all legal requirements related to Pollution Prevention?	Pass	The factory had established the hazardous substance control procedure; it was able to demonstrate compliance to all legal requirements related to Pollution Prevention.
7.2	Is factory able to demonstrate compliance with prohibited substances lists (REACH, Prop 65, etc.)?	Pass	The factory could demonstrate compliance with prohibited substances lists (REACH, Prop 65...).
7.3	Is factory maintaining relevant, up-to-date permits related to Pollution Prevention?	Pass	The factory had obtained EIA report, EIA approval and Pollutant Discharge Permit, and the factory transferred the hazardous waste to licensed vendor.
7.4	Are hazardous chemicals inventoried, and stored in a safe area, with up-to-date MSDS? Is it updated on regular basis?	Pass	Based on the onsite observation, all chemicals were inventoried, stored in a warehouse and MSDS was available.
7.5	Does factory store hazardous chemicals in a relevant ways, closed, with control access and ensure it cannot spill (secondary containment, measures against spillage for storage and transportation)?	Major	<b>There was no secondary container provided for three bottles chemical (machine oil) used at the production line.</b> 部分化学品没有二次容器。
7.6	Are there evidences that pollution prevention and response training is delivered to all relevant employees in contact with hazardous substances?	Pass	The pollution prevention and response training was delivered to all relevant employees in contact with hazardous substances.
7.7	Does factory maintain a mechanism to notify incidents to the authorities? Was there any incident reported within last 2 years?	Pass	The factory would notify the labor bureau if incidents occurred. No incident was reported within the last 2 years.
7.8	Are formal written systems and processes in place for pollution prevention and to reduce potential for pollution incidents as per the site EMS?	Yes	The factory had formal written systems and processes in place for pollution prevention and to reduce potential for pollution.
7.9	Is there a dedicated emergency response team in place to deal with pollution incidents?	Yes	A dedicated emergency response team was established in the factory.
7.10	Are regular practice drills carried out for pollution incidents?	No	The factory did not conduct pollution incident practice drill at least once per year in the factory.
7.11	Are targets reviewed on an annual basis to reduce the use of hazardous substances, minimize associated risks and substitute with non-hazardous alternatives where possible?	No	No such target was established in the factory.
7.12	For Agricultural production only: Where relevant, are specific pollution prevention mechanisms considered in agricultural production, including optimization of agrichemicals input?	Yes	It was not applicable for this type of factory.
7.13	Can factory demonstrate reduction in hazardous substances used and minimization of associated risks?	Yes	There were records show reduction in hazardous substances used and minimization of associated risk.

### Special Remarks

<b>Negative Remarks</b>	1. There was no secondary container provided for three bottle chemical(machine oil) used at the production line.
<b>Positive Remarks</b>	1. The factory had established pollution prevention and response procedure.

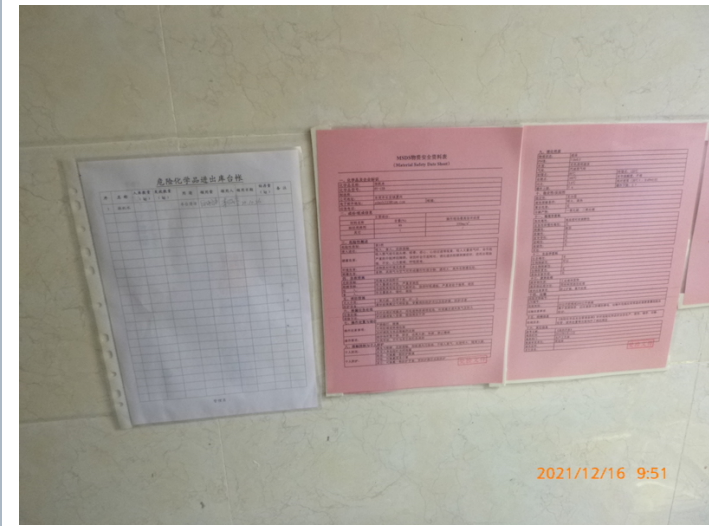
### Pictures



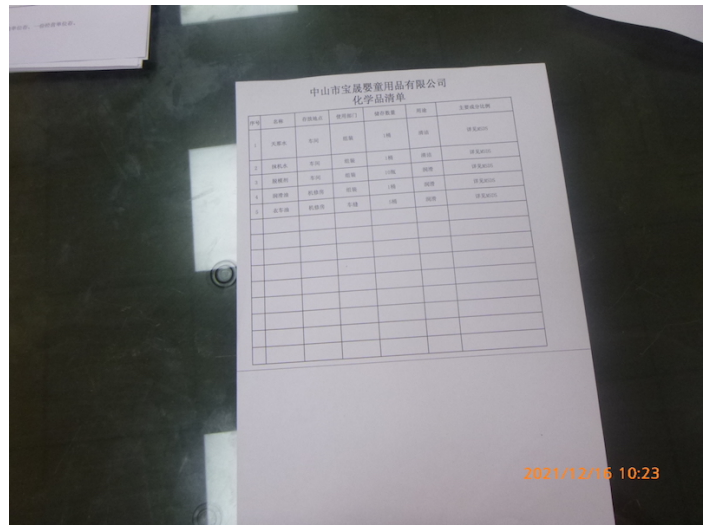
Chemical warehouse



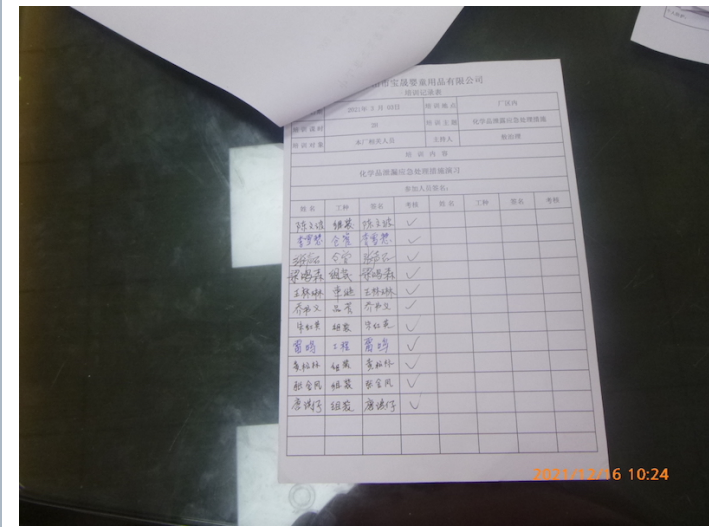
Chemical stored station



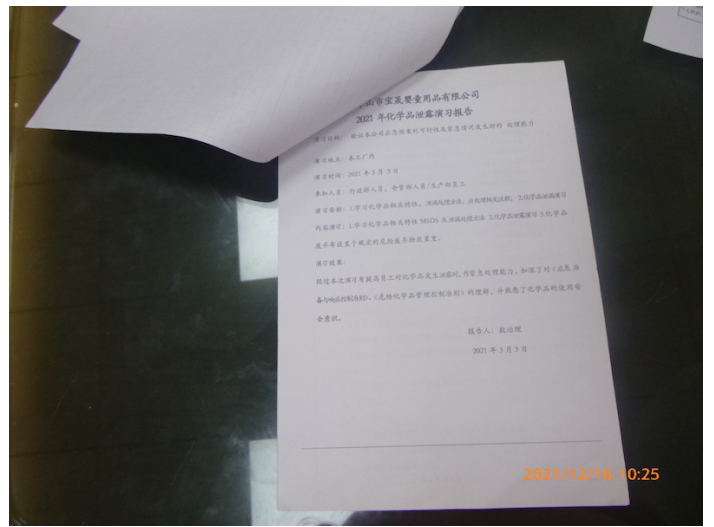
MSDS post onsite



Chemical list



Chemical training records



Chemical drill records



Chemical drill records



Eye washing station



NC: no secondary container provided for chemical

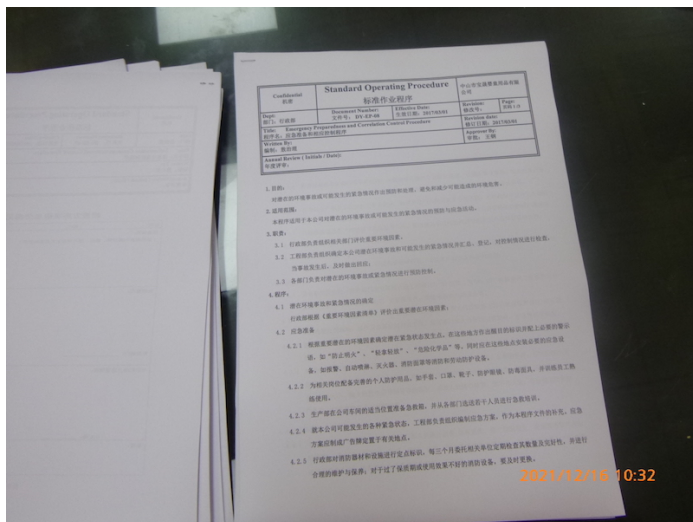
## 8. Part 8 Major incident prevention and management

Item No	Requirement	Result	Findings/Comment
8.1	Is factory able to demonstrate compliance to all legal requirements related to Incident Prevention?	Pass	The Incident Prevention procedure was established in the factory, it was able to demonstrate compliance to all legal requirements related to Incident Prevention.
8.2	Is factory maintaining relevant, up-to-date permits related to Incident Prevention?	Pass	The Incident Prevention procedure was established in the factory, no permit was required.
8.3	<b>In case of major environmental risk, is there emergency procedures? If yes, is there any action to prevent reoccurrence of these accidents? (C)</b>	Pass	The emergency response procedure was established in the factory, if major environmental risk was found, the root cause was analyzed and correction and prevent action would be conducted to prevent reoccurrence.
8.4	Is emergency response plan communicated to local authorities, emergency services and local communities, as required.	Pass	The emergency response plan was established in the factory, no such requirement was available.
8.5	If applicable, are formal written systems and processes in place to manage emergency response as per the site EMS?	Yes	The emergency response procedure was established in the factory.
8.6	If applicable, are measures implemented to reduce potential occurrence and impacts of major incidents (e.g. explosions, fires, major spills, etc.) ?	Yes	The factory had established the measures implemented to reduce potential occurrence and impacts of major incidents. Such as fire facilities were installed, secondary containment was provided in chemical storage area etc.
8.7	If applicable, is there Regular Quantitative Risk Assessment (QRA) reviews?	No	No Regular Quantitative Risk Assessment (QRA) was available.
8.8	If applicable, are Major incidents reported to relevant stakeholders?	Yes	No major incident was occurred in the factory.
8.9	If applicable, is there a dedicated site emergency response team in place?	Yes	A dedicated site emergency response team was established in the factory.
8.10	If applicable, are there regular practice drills are carried out for all emergency scenarios?	No	The factory did not conduct pollution incident practice drill at least once per year in the factory.

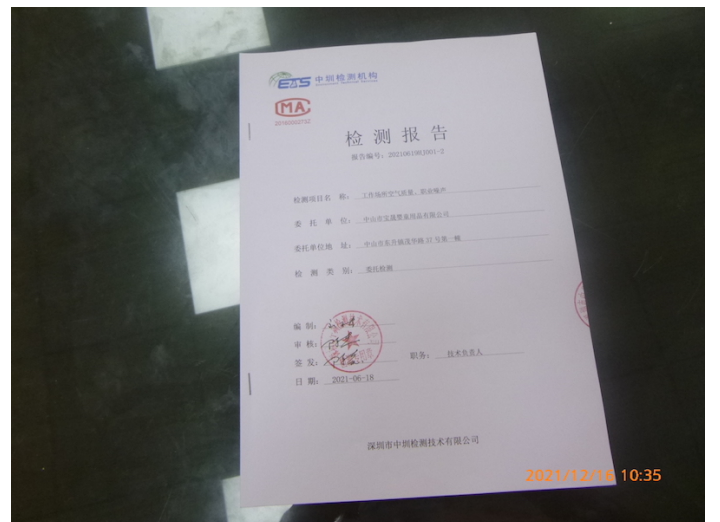
### Special Remarks

<b>Negative Remarks</b>	There is no negative remarks on major incident prevention and management.
<b>Positive Remarks</b>	1.The emergency response plan was established. 2.No major incident was occurred in the factory.

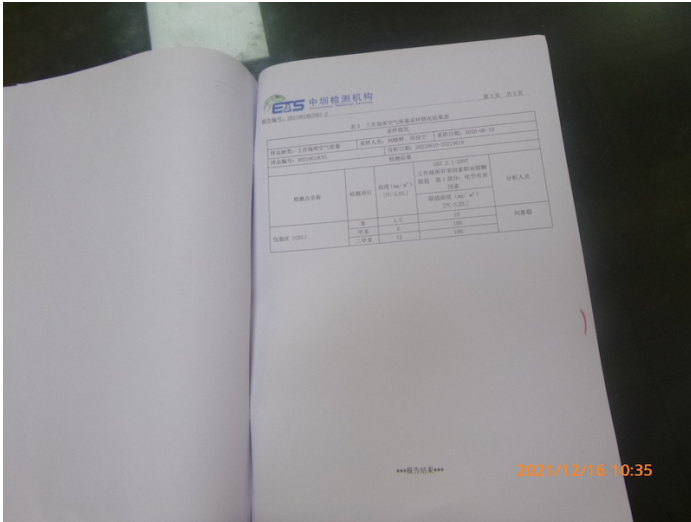
### Pictures



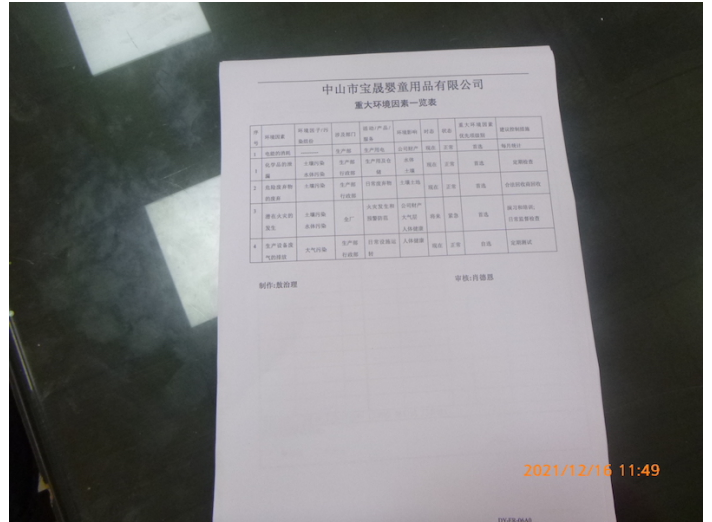
Emergency preparedness and response control procedures



Workplace environment monitoring report



Workplace environment monitoring report



List of major environmental factors



Inspection report



## 9. Part 9 Contaminated land/soil and Groundwater Pollution Prevention

Item No	Requirement	Result	Findings/Comment
9.1	Is factory able to demonstrate compliance to all legal requirements related to Pollution of Soils?	Pass	The factory was located at the industrial area, all production activities were conducted in the industrial building according to legal requirements. All hazardous wastes were transferred to licensed vendor. And based on onsite observation, no negative evidence related to Pollution of Soils was found. It was able to demonstrate compliance to all legal requirements related to Pollution of Soils.
9.2	Is factory maintaining relevant, up-to-date permits related to Pollution of Soils?	N/A	It was not applicable. No such permit related to Pollution of Soils was required.
9.3	Can factory demonstrate understanding and awareness of site setting/sensitive receptors in site's vicinity?	Pass	No concern about pollution of Soils in the factory. The factory understood and aware of site setting/sensitive receptors in site's vicinity.
9.4	<b>Is there any evidence that factory is causing or knowingly permitting contamination of soil and groundwater? (C)</b>	Pass	No such negative evidence was found during the audit.
9.5	Are formal written systems and processes in place to manage contaminated soil and groundwater as per the site EMS?	N/A	It was not applicable. No concern about pollution of Soil and groundwater.
9.6	Are qualitative soil and groundwater risk assessment completed including: - All potential current and historical on-site sources of potential soil and groundwater impact? - All potential current and historical off-site sources of potential soil and groundwater impact? - Mapping of all receptors and pathways? - Assessment of risk of soil and groundwater impact?	N/A	It was not applicable. No concern about pollution of Soil and groundwater.
9.7	Are all actions completed following results for soil and groundwater risk assessment, as required: - Focused Phase II investigation? - Appropriate and efficient remediation of soil and/or groundwater contamination?	N/A	It was not applicable. No concern about pollution of Soil and groundwater.
9.8	Is soil and groundwater contamination communicated to relevant stakeholders?	N/A	It was not applicable. No concern about pollution of Soil and groundwater.

### Special Remarks

<b>Positive Remarks</b>	1. No soil and groundwater contamination in the factory.
<b>Negative Remarks</b>	There is no negative remarks on contaminated land/soil and groundwater pollution prevention.

## 10. Part 10 Land Use and Biodiversity

Item No	Requirement	Result	Findings/Comment
10.1	Is factory able to demonstrate compliance to all legal requirements related to Planning and Development?	<b>Pass</b>	The factory was located at the industrial area. The factory obtained the business license from local authority. It was able to demonstrate compliance to all legal requirements related to Planning and Development.
10.2	Is factory maintaining relevant, up-to-date permits related to Planning and Development?	<b>Pass</b>	The factory was located at the industrial area. The factory obtained the business license from local authority.
10.3	Can factory demonstrate that it has no negative impact on designated protection areas or species?	<b>Pass</b>	The factory was located at the industrial area, no negative impact was found on designated protection areas or species.
10.4	Are formal written systems and processes in place to manage Land use and biodiversity as per the site EMS?	<b>Yes</b>	No written systems and processes were established in place to manage Land use and biodiversity.
10.5	For Agricultural only: Is there proactive management to maintain soil fertility, avoid soil erosion and promote soil and biodiversity recovery?	<b>Yes</b>	It was not applicable. The factory was industry production.
10.6	Where appropriate, can factory demonstrate improvement in Land use and biodiversity performance including deforestation, rehabilitation and conservation activities?	<b>Yes</b>	The factory was located at the industrial area. It was not applicable.
10.7	Does factory take biodiversity issues into account when selecting supply chain or on your site?	<b>No</b>	The factory did not take biodiversity issues into account when selecting supply chain.
10.8	Does factory take animal welfare into account in supply and production methods, particularly for material from cattle farm?	<b>N/A</b>	The main products were various baby Products, such as Baby carriage.. No material from cattle farm or concern with animal. It was not applicable.

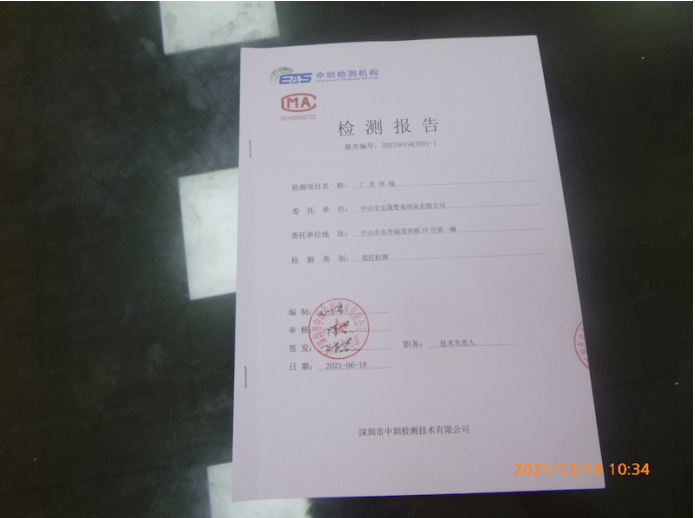

### Special Remarks

<b>Negative Remarks</b>	There is no negative remarks on land use and biodiversity.
<b>Positive Remarks</b>	The process was no involvement in Land Use and Biodiversity.

# 11. Part 11 Nuisance

Item No	Requirement	Result	Findings/Comment
11.1	Is factory able to demonstrate compliance to all legal requirements related to Nuisance to Neighbors?	Pass	The factory had provided noise level test report in all workshops during the audit. Based on the document review, the test result was acceptable.
11.2	Is factory maintaining relevant, up-to-date permits related to Nuisance to Neighbors?	Pass	The factory had provided noise level test report in all workshops during the audit. Based on the document review, the test result was acceptable. No permit was required.
11.3	Does the factory demonstrate understanding and control of its nuisance levels from site activities and associated impacts (including odor, noise, visual and general housekeeping)?	Pass	The factory had provided noise level test report in all workshops during the audit. Based on the document review, the test result was acceptable.
11.4	<b>Has the site received any complaint from local residents or has received specific requests from authorities over the past 3 years? Has it been solved? (C)</b>	Pass	The site did not receive any complaint from residents or specific requests from authorities.
11.5	Are formal written systems and processes in place to manage nuisances (including odour, noise, visual and general housekeeping) and complaints as per the site EMS?	Yes	The written system was established in place to manage nuisances in the factory.
11.6	Are impacts and complaints data are made available to relevant internal and external stakeholders?	Yes	Based on the management interview, the waste data was available to appropriate internal stakeholders, and it was available to appropriate external stakeholders if needed.
11.7	Are there evidences of actions and/or investment from factory to reduce impacts and complaints, and annual review to drive continuous improvement?	Yes	The factory replaced the old equipment with new equipment to reduce impacts and complaints.
11.8	Can factory demonstrate reduction in nuisance impacts and complaints?	Yes	The factory replaced the old equipment with new equipment to reduce environmental impact.

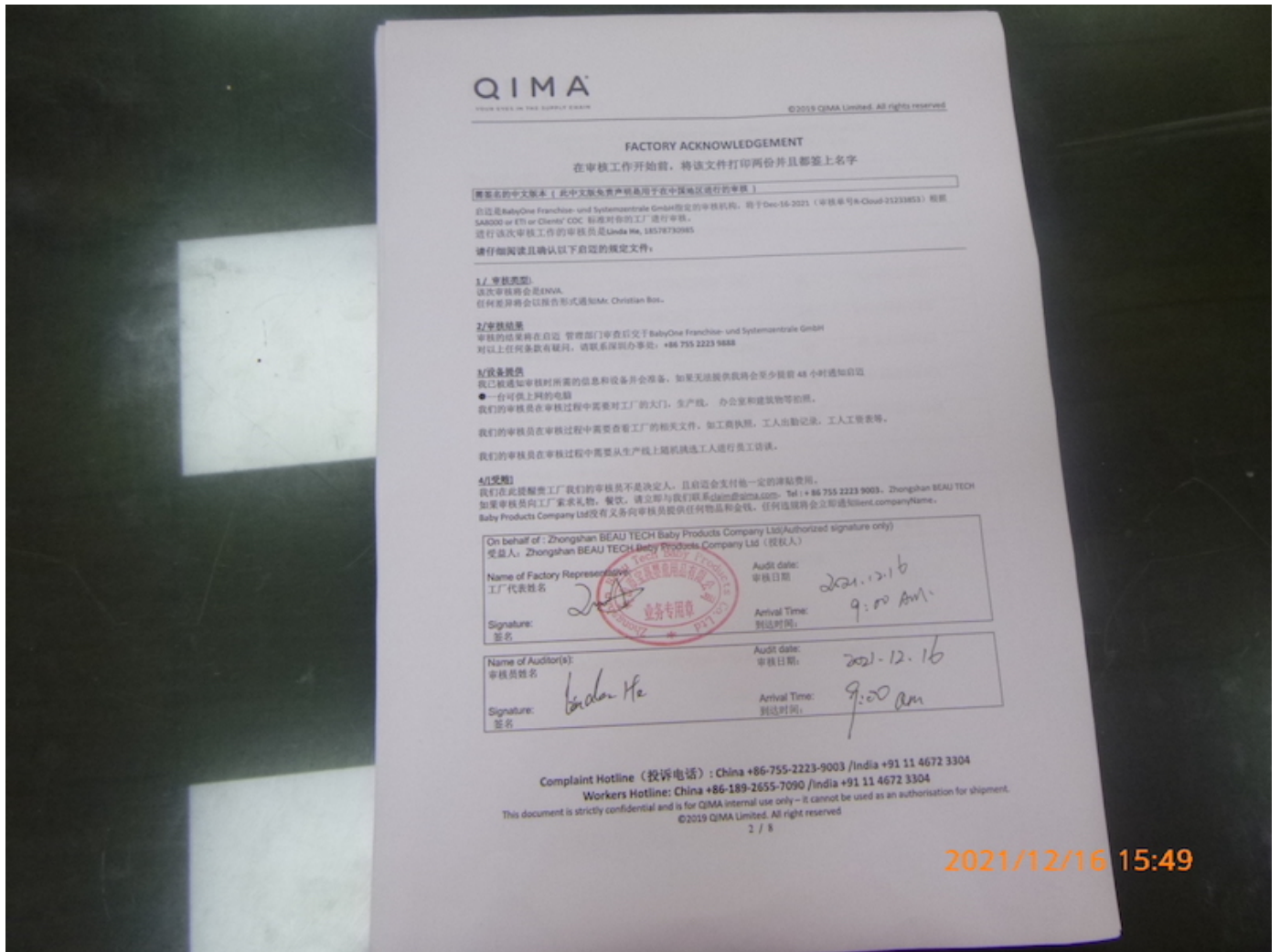
Special Remarks	
<b>Positive Remarks</b>	1. The noise level test report were available for all workshops and the test result was acceptable.
<b>Negative Remarks</b>	There is no negative remarks on nuisance.

Pictures	
 <p>Noise monitor report</p>	 <p>Noise monitor report</p>

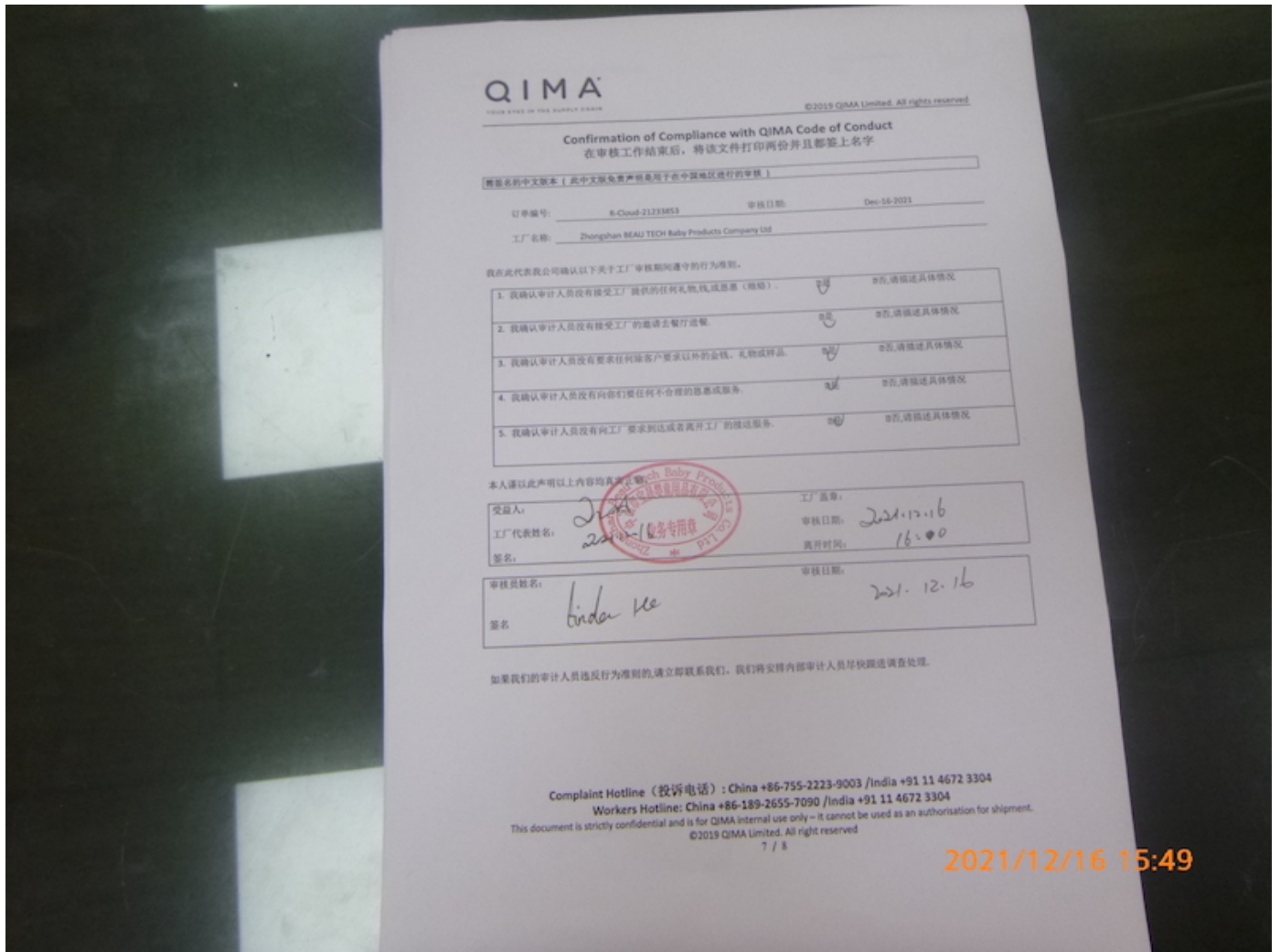
## Corrective Action Plan

Item No	Result	Finding/Violation	Responsible Person	Factory Completion Date	Corrective Action
2.3	Minor	The facility provided the energy consumption record and relevant energy use analysis report. The GHG emission was not calculated during production including the fuel for onsite transportation. 工厂提供能源分析记录，但是没有计算交通运输过程当中的温室气体排放量。	Wang Gang/Sales manager	16-Feb-2022	The factory should calculate the GHG emission at production including the fuel for onsite transportation.
6.5	Major	There was no secondary container provided for three bottles chemical (machine oil) used at the production line. 部分化学品没有二次容器。	Wang Gang/Sales manager	16-Feb-2022	The factory should provide secondary container for all chemical used at the production line.
6.6	Major	The staff in contact with hazardous materials and hazardous wastes was not trained about emergency procedure in case of spillage. 审核发现，工厂没有对员工进行环境类包括危废分类和环境应急处理等的培训。	Wang Gang/Sales Manager	16-Feb-2022	The factory should provide emergency training to employees who stored and managed chemical and hazardous wastes.
7.5	Major	There was no secondary container provided for three bottles chemical (machine oil) used at the production line. 部分化学品没有二次容器。	Wang Gang/Sales manager	16-Feb-2022	The factory should provide secondary container for all chemical used at the production line.

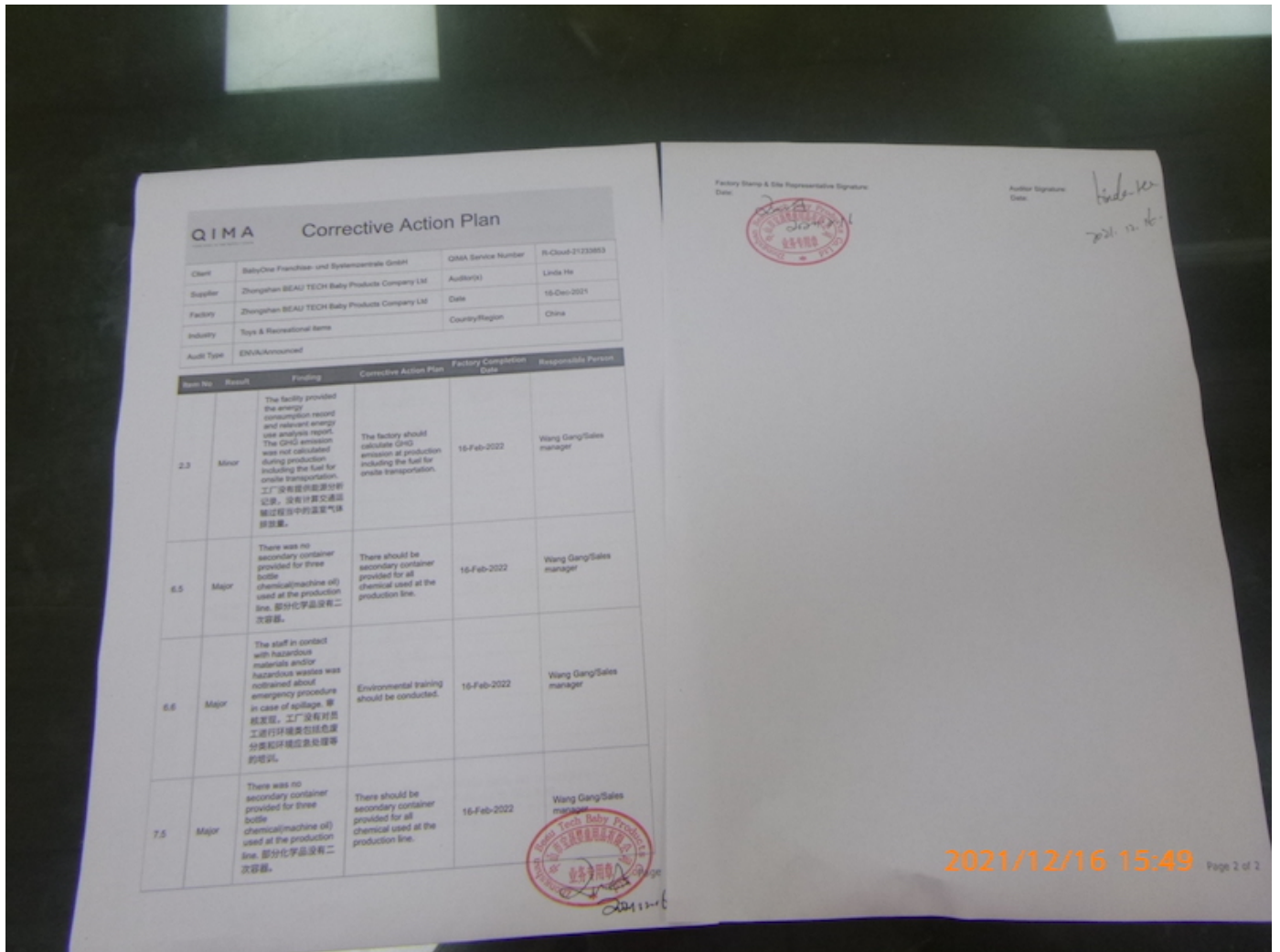
# Factory Disclaimer



# Code of Conduct



# Signed Corrective Action Plan



Signed Corrective Action Plan